Principal® Bank
A member of the Principal Financial Group

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Contribution/Rollover Submission Form

Important Note: This form should accompany all contribution/rollover checks sent to Principal Bank® for your individual retirement account(s). This information is vital to ensure that contributions are identified and recorded correctly.

I. Personal Information (Please print or type)

☐ Check here if this is a change of address and you would like us to update your records.

Name			
Address	City	State	ZIP Code

Daytime Phone Number	Account Number	Type of IRA
		☐ Traditional IRA ☐ Roth IRA

II. Contribution Information

Traditional IRA Contribution

Amount	Tax Year

Contribution Type:

- ☐ Regular/Spousal
- ☐ Catch-Up
- ☐ Recharacterization
- ☐ Rollover from a Traditional IRA or SIMPLE IRA*
- ☐ Rollover from an Eligible Retirement Plan
- ☐ Direct Rollover from an Eligible Retirement Plan
- ☐ Transfer from a Traditional IRA or SIMPLE IRA*

Roth IRA Contribution

Amount	Tax Year

Contribution Type:

- ☐ Regular/Spousal
- ☐ Catch-Up
- ☐ Rollover from a Roth IRA
- ☐ Transfer from a Roth IRA
- ☐ Recharacterization
- ☐ Conversion from a Traditional IRA to a Roth IRA
- ☐ Conversion from a SIMPLE IRA to a Roth IRA *
- ☐ Rollover from Designated Roth Account
- ☐ Direct Rollover from an Eligible Retirement Plan

* SIMPLE IRA assets cannot be rolled over to a Traditional IRA or converted to a Roth IRA within the first two years of the date of the initial contribution to your SIMPLE IRA.

III. Signature

I certify that the information provided by me is accurate and I instruct the custodian/trustee to complete my contribution as set forth herein. My designation of the tax year for my contribution and any election to treat a contribution as a rollover or recharacterization is irrevocable. In the event this is a rollover, I certify that no more than 60 days have elapsed since I received the distribution from the distributing account, that I fulfilled any required minimum distribution requirements prior to receiving the distribution, and that I have not completed any other rollovers from the distributing account in the past 12 months. In the event this is a rollover from a SIMPLE IRA, I certify that I first began participating in the distributing SIMPLE IRA more than two years ago. I have not received any tax or legal advice from Principal Bank. I assume sole responsibility for all tax consequences associated with my contributions, determining my eligibility, and ensuring that such contributions are in compliance. I will seek the advice of my tax or legal professional when appropriate. I hold Principal Bank harmless against any and all claims and situations arising from this contribution transaction.

X	
Account Owner Signature	Date