



Mailing Address:
P.O. Box 9351
Des Moines, IA 50306-9351

Principal Bank | **Safe Harbor IRA
Signature Card**

As the Account Owner, you are responsible for certifying that the information on this form is correct and for signing and returning this Safe Harbor IRA Signature Card to Principal Bank. If you fail to complete, sign and return this signature card and provide the Custodian, Principal Bank, with accurate information, the payment of the IRA proceeds to you may be delayed or, if the Custodian is unable to locate you, may be forfeited under state property laws.

Section 1 – Account Owner Information

Name of Account Owner (first, middle, last)		Account Number
Street Address (required)	Email Address (used by Principal only)	
City	State	ZIP Code
Mailing Address (optional)		
City	State	ZIP Code
Mother's Maiden Name	Birthdate (MM/DD/YYYY)	Home Phone

Section 2 – Certification and Signature (Required by IRS regulations)

Taxpayer ID Number: My Federal Tax ID number or Social Security Number is
This is my correct taxpayer identification number.

Please check the box below if it applies to you.

Nonresident Alien: I am neither a citizen nor a resident of the United States.

Certification: Under penalties of perjury, I certify that the statements above are correct and I have checked the correct box(es).

Compensation Disclosure: I understand that Principal Bank or other companies with which it is affiliated may pay compensation, directly or indirectly, to various intermediaries or selling professionals for the sale or referral of Principal Bank products.

Documents: I certify that I have read the following documents and that I have retained a copy of each as a legally binding record of my rights and obligations under my Principal Bank Safe Harbor IRA. I agree to the terms and conditions stated on these documents and acknowledge receipt of a completed copy of each on today's date.

- Traditional IRA Custodial Booklet
- Principal Bank Terms & Conditions and Required Disclosures
- Principal Bank Schedule of Fees
- Privacy Notice

Please sign and return this document to:

Principal Bank
PO Box 9351
Des Moines, IA 50306-9351

Signature of Account Owner	Date (MM/DD/YYYY)
X	
Signature of Custodian (Principal Bank Representative)	Date (MM/DD/YYYY)
X	

Note: Beneficiary information does not carry over from a 401(k) plan. If you would like to add a beneficiary, please complete an IRA Designation of Beneficiary form.



Principal Bank
 PO Box 9351
 Des Moines, IA 50306-9351
 1-800-672-3343
 www.principalbank.com
A member of the Principal Financial Group®

AFFIDAVIT OF IDENTITY

The Affidavit of Identity is used to authenticate your identity and verify your signature. This form will need to be notarized and witnessed.

STATE OF _____)
 SS. _____)
 COUNTY OF _____)

I, the affiant herein, being first duly sworn upon oath does hereby state:

1. That my legal name is _____.
2. That my Social Security number is _____.
3. That my current legal address _____
 _____.
4. That my date of birth is _____.
5. That I did present to my Notary Public, a valid driver's license or other acceptable photographic identification, issued in the State of _____ License # _____ or other ID # _____) as proof of my identity.
6. That the purpose of this Affidavit is to establish and verify that the Affiant is the same as the individual who is a customer of Principal Bank.
7. That the Affiant understands that falsification in any degree of this Affidavit is a felony criminal offense and will subject such Affiant to prosecution to the fullest extent of the law.

Signature of Affiant: _____
 Print full legal name of Affiant: _____
 Current Telephone Number of Affiant: _____

Notary Public:

* Subscribed and sworn to (or affirmed) before me on this ____ day of _____, 20____, by _____ (Affiant), proved to me on the basis of presentation of satisfactory evidence to be the person(s) who appeared before me.

Signature _____
 Notary Public

Seal:

*NOTE: Notary Public may add state specific acknowledgement when required.

Return the original of this Affidavit to:
 Principal Bank
 P.O. Box 9351
 Des Moines, Iowa 50306-9351